

| TRANSMITTAL LETTER                              |                                  |                 | Case No. 3716/155     |
|---|----------------------------------|-----------------|-----------------------|
| Serial No.<br>10/659,688                        | Filing Date<br>September 9, 2003 | Examiner<br>N/A | Group Art Unit<br>N/A |
| Inventor(s)<br>Amy M. Seibert et al.            |                                  |                 |                       |
| Title of Invention<br>Finger Puppets with Sound |                                  |                 |                       |

TO THE COMMISSIONER FOR PATENTS

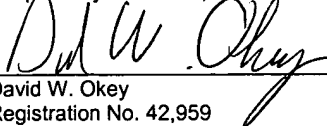
Transmitted herewith is Information Disclosure Statement; Form PTO-1449; and return post card.

- ☐ Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.
- ☐ Applicant claims small entity status. See 37 CFR 1.27.
- ☐ Petition for a \_\_\_\_\_ month extension of time.
- ☒ No additional fee is required.
- ☐ The fee has been calculated as shown below:

|   |                                  |       |                                 |               | Small Entity    |           | or | Other Than Small Entity |           |
|---|----------------------------------|-------|---------------------------------|---------------|-----------------|-----------|----|-------------------------|-----------|
|   | Claims Remaining After Amendment |       | Highest No. Previously Paid For | Present Extra | Rate            | Add'l Fee |    | Rate                    | Add'l Fee |
| Total                                     |                                  | Minus |                                 |               | x \$9=          |           |    | x \$18=                 |           |
| Indep.                                    |                                  | Minus |                                 |               | x 43=           |           |    | x \$86=                 |           |
| First Presentation of Multiple Dep. Claim |                                  |       |                                 |               | +\$145=         |           |    | + \$290=                |           |
|   |                                  |       |                                 |               | Total add'l fee | \$        |    | Total add'l fee         | \$        |

- ☐ Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$\_\_\_\_\_. A duplicate copy of this sheet is enclosed.
- ☐ A check in the amount of \$\_\_\_\_\_ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- ☒ I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

  
David W. Okey  
Registration No. 42,959  
Attorney for Applicant  
Customer No. 00757 - Brinks Hofer Gilson Lione

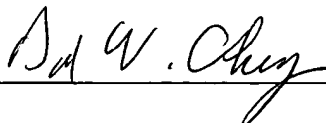
BRINKS HOFER GILSON & LIONE  
P.O. BOX 10395  
CHICAGO, ILLINOIS 60610  
(312) 321-4200

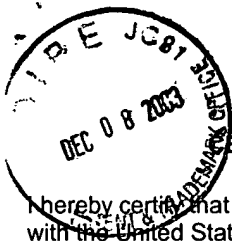
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to:  
Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on Dec 5, 2003

Date:

Dec 5, 2003

Signature:





**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope, with sufficient postage, addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

Dec 5, 2003

Date of Deposit

David W. Okey, Reg. No. 42,959

Name of Applicant, Assignee or  
Registered Representative

David W. Okey

Signature

Dec 5, 2003

Date of Signature

Our Case No.: 3716/155

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Amy M. Seibert et al.

Serial No.: 10/659,688

Filing Date: September 9, 2003

For: Finger Puppets with Sound

Examiner: N/A

Group Art Unit No.: N/A

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
Alexandria, VA 22313-1450

Dear Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the documents listed below and on the attached Form PTO-1449 be considered by the Examiner and made of record. Copies of the listed documents required by 37 C.F.R. § 1.98(a)(2) are enclosed for the convenience of the Examiner.

The references now cited are the following:

**U.S. Patents**

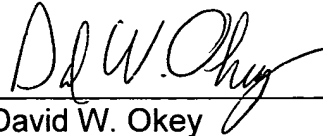
| <b>Document No.</b> | <b>Date</b> | <b>Inventor</b> |
|---------------------|-------------|-----------------|
| Des. 366,297        | 01/16/1996  | Ford            |
| 4,173,842           | 11/13/1979  | Bahner          |
| 4,280,292           | 07/28/1981  | Hills           |
| 4,976,646           | 12/11/1990  | Hull            |
| 5,342,234           | 08/30/1994  | Bloom           |
| 5,498,189           | 03/12/1996  | Townsend        |
| 5,540,132           | 07/30/1996  | Hale            |
| 5,558,550           | 09/24/1996  | Setteducati     |
| 5,848,928           | 12/15/1998  | Wong            |
| 6,010,387           | 01/04/2000  | Nemec et al.    |
| 6,057,501           | 05/02/2000  | Hale            |
| 6,155,836           | 12/05/2000  | Hancock         |
| 6,350,169 B1        | 02/26/2002  | Holt            |
| 6,394,874 B1        | 05/28/2002  | Kubo et al.     |
| 6,461,164 B1        | 10/08/2002  | Ramelli         |
| 6,540,581 B2        | 04/01/2003  | Kennedy         |
| 2003/0162471 A1     | 08/28/2003  | Gemma, Jr.      |
| 2003/0186198 A1     | 10/02/2003  | Cubeta et al.   |

In accordance with 37 C.F.R. § 1.97(g),(h), this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

This Information Disclosure Statement is being filed prior to the receipt of the first Official Action reflecting an examination on the merits and hence is believed to be timely filed in accordance with 37 C.F.R. § 1.97(b).

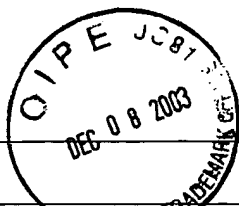
Applicant(s) respectfully request that the listed documents be made of record in the present case.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "D. W. Okey", is written over a horizontal line.

David W. Okey  
Registration No. 42,959  
Attorney for Applicant(s)

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|  |                                     |                       |
|--|-------------------------------------|-----------------------|
| FORM PTO-1449  | SERIAL NO.<br>10/659,688            | CASE NO.<br>3716/155  |
| <b>LIST OF PATENTS AND PUBLICATIONS FOR<br/>APPLICANT'S INFORMATION DISCLOSURE<br/>STATEMENT</b> | FILING DATE<br>September 9, 2003    | GROUP ART UNIT<br>N/A |
| (use several sheets if necessary)  | APPLICANT(S): Amy M. Seibert et al. |                       |

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

| EXAMINER<br>INITIAL |     | DOCUMENT<br>NUMBER<br><small>Number-Kind Code (if known)</small> | DATE       | NAME          | CLASS/<br>SUBCLASS | FILING<br>DATE |
|---------------------|-----|--|------------|---------------|--------------------|----------------|
|                     | A1  | Des. 366,297   | 01/16/1996 | Ford          |                    |                |
|                     | A2  | 4,173,842  | 11/13/1979 | Bahner        |                    |                |
|                     | A3  | 4,280,292  | 07/28/1981 | Hills         |                    |                |
|                     | A4  | 4,976,646  | 12/11/1990 | Hull          |                    |                |
|                     | A5  | 5,342,234  | 08/30/1994 | Bloom         |                    |                |
|                     | A6  | 5,498,189  | 03/12/1996 | Townsend      |                    |                |
|                     | A7  | 5,540,132  | 07/30/1996 | Hale          |                    |                |
|                     | A8  | 5,558,550  | 09/24/1996 | Setteducati   |                    |                |
|                     | A9  | 5,848,928  | 12/15/1998 | Wong          |                    |                |
|                     | A10 | 6,010,387  | 01/04/2000 | Nemec et al.  |                    |                |
|                     | A11 | 6,057,501  | 05/02/2000 | Hale          |                    |                |
|                     | A12 | 6,155,836  | 12/05/2000 | Hancock       |                    |                |
|                     | A13 | 6,350,169 B1   | 02/26/2002 | Holt          |                    |                |
|                     | A14 | 6,394,874 B1   | 05/28/2002 | Kubo et al.   |                    |                |
|                     | A15 | 6,461,164 B1   | 10/08/2002 | Ramelli       |                    |                |
|                     | A16 | 6,540,581 B2   | 04/01/2003 | Kennedy       |                    |                |
|                     | A17 | 2003/0162471 A1  | 08/28/2003 | Gemma, Jr.    |                    |                |
|                     | A18 | 2003/0186198 A1  | 10/02/2003 | Cubeta et al. |                    |                |

|          |                 |
|----------|-----------------|
| EXAMINER | DATE CONSIDERED |
|----------|-----------------|

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.